## CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on August 25, 2003.

Geraldine Maddox

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Masaya ISHIDA et al.

Serial No.:

Not Yet Assigned

Filing Date:

August 25, 2003

For:

LIGHT-EMITTING APPARATUS, PHOSPHUR, AND METHOD OF

PRODUCING IT

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § \$ 1.97 & 1.98

U.S. Patent and Trademark Office 2011 South Clark Place, Lobby Crystal Plaza Two, Room 1B03 Arlington, VA 22202 Mail Stop PCT

Sir:

Pursuant to 37 CFR § § 1.97 and 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO-1449 were cited in a Search Report (copy attached) directed to a counterpart international or foreign application.

This Information Disclosure Statement is submitted:

With the application; accordingly, no fee or separate requirements are required.

ApplicantS would appreciate the Examiner initialing and returning the Form

PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 CFR

§ 1.97 is not to be construed as a representation that: (i) a complete search has been made;

(ii) additional information material to the examination of this application does not exist; (iii)

the information, protocols, results and the like reported by third parties are accurate or

enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent

Office determines that an extension and/or other relief is required, Applicants petition for any

required relief including extensions of time and authorize the Commissioner to charge the

cost of such petitions and/or other fees due in connection with the filing of this document to

**Deposit Account No. 03-1952** referencing 524242000700.

Dated: August 25, 2003

Respectfully submitted,

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Substitute for form 1449A/PTO				Complete if Known		
				Application Number	Not Yet Assigned	
11	<b>VEORMATION</b>	1 DI	SCLOSURE	Filing Date	August 25, 2003	
S	TATEMENT I	3Y A	APPLICANT	First Named Inventor	Masaya ISHIDA	
				Art Unit	Not Yet Assigned	
	(use as many she	eets as	necessary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	524242000700	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	5,455,489	10/1995	BHARGAVA	
	-				<del> </del>

FOREIGN PATENT DOCUMENTS						
Examiner	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant	
Initials*		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Passages or Relevant Figures Appear	T <sup>6</sup>
	2.	JP-08-063119	03/1996		ABSTRACT	
	3.	JP-11-340516	12/1999		ABSTRACT	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>8</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
tem (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s					
4.	R.N. BHARGAVA et al, "OPTICAL PROPERTIES OF MANGANESE-DOPED NANOCRYSTALS OF ZnS," PHYSICAL REVIEW LETTERS, VOL. 72, NO. 3, PP. 416-419, 1994.				
	No.1	Cite No.¹ Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.  4. R.N. BHARGAVA et al, "OPTICAL PROPERTIES OF MANGANESE-DOPED NANOCRYSTALS OF ZnS," PHYSICAL REVIEW LETTERS, VOL. 72, NO. 3, PP. 416-419,			

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date
Signature	Considered

Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.